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Kathryn Marley
Kathryn Marley

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Inventor:

Frederick M. van der Vliet

Application No.: **10/521,086**

Confirmation No. **4507**

Filed: **09 January 2006**

Title: **Optical Splitter with Tapered Multimode
Interference Waveguide**

Group Art Unit: **2883**

Examiner: **Guy G. Anderson**

Customer No. 54413

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

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It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56.

Also enclosed with this statement is a copy of each cited document as required by 37 C.F.R. 1.98. The exception to this, in accordance with the 05 August 2003 Official Gazette Notice in which the USPTO waives the requirement for submitting copies of U.S. Patent and Publications for cases filed after 30 June 2003, is that copies of U.S. Patent documents and copies of U.S. Patent publications are not being submitted.

For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. MPEP 609A(3).

If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in 1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. 1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP 609A(3).

This statement should be considered under 37 C.F.R. 1.97(b) because it is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. 1.114.

Fee Authorization. The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 50-0869 (GEML 4793-3). A copy of this authorization is enclosed.

Respectfully submitted,

HAYNES BEFFEL & WOLFELD LLP

Date: 3/2/2007

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| Sheet | 1 | of | 2 |
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|------------------------|-------------------------|
| Application Number | 10/521,086 |
| Filing Date | 09 January 2006 |
| First Named Inventor | FREDERICK VAN DER VLIET |
| Art Unit | 2883 |
| Examiner Name | GUY G. ANDERSON |
| Attorney Docket Number | GEM1 4793-3 |

U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|--------------------------|---|---------------------|--|---|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T ⁶ |
| | | Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) | MM-DD-YYYY | | | |
| | | JP 2001-13336 | 01-19-2001 | HITACHI CABLE LTD | MACHINE TRANSLATION | ✓ |
| | | WO 02/103423 | 12-27-2002 | ALCATEL OPTRONICS | | |
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